Protein-losing enteropathy and plastic bronchitis after the Fontan procedure

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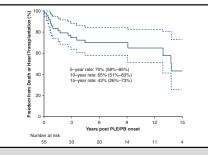
ABSTRACT

Objectives: Protein losing enteropathy and plastic bronchitis are severe complications in Fontan circulation, with 5-year survival ranging from 46% to 88%. We report risk factors and outcomes of protein losing enteropathy and plastic bronchitis in patients undergoing the Fontan.

Methods: We performed a retrospective analysis of 1561 patients from the Australia New Zealand Fontan Registry. Two end points were death and cardiac transplantation examined with Cox regression (if no competing risks) or cumulative incidence curves and cause-specific Cs regression.

Results: A total of 55 patients with protein losing enteropathy/plastic bronchitis were included. Their median age at the Fontan was 5.7 years, and time to onset after the Fontan for protein losing enteropathy was 5.0 years and plastic bronchitis was 1.7 years. Independent predictors for developing protein losing enteropathy/plastic bronchitis were right-ventricular morphology with hypoplastic left-heart syndrome (hazard ratio, 2.30; confidence interval, 1.12-4.74), older age at Fontan (hazard ratio, 1.13; confidence interval, 1.03-1.23), and pleural effusions after Fontan (hazard ratio, 2.43; confidence interval, 1.09-5.41); left-ventricular morphology was protective (hazard ratio, 0.36; confidence interval, 0.18-0.70). In the protein losing enteropathy/plastic bronchitis population, freedom from death or transplantation after protein losing enteropathy/ plastic bronchitis diagnosis at 5, 10, and 15 years was 70% (confidence interval, 58-85), 65% (confidence interval, 51-83), and 43% (confidence interval, 26-73), respectively; only older age (hazard ratio, 1.23; confidence interval, 1.01-1.52) was an independent predictor. Twenty-six surgical interventions were performed in 20 patients, comprising Fontan revisions (n = 5), fenestrations (n = 11), Fontan conversions (n = 5), atrioventricular valve repairs (n = 3), and hepatic vein diversion (n = 2).

Conclusions: Protein losing enteropathy and plastic bronchitis remain severe complications, preferably affecting patients with dominant right single ventricle, with older age at Fontan being a predictor of developing protein losing enteropathy/plastic bronchitis and poorer prognosis. Heart transplantation remains the ultimate treatment, with 30% dying or requiring transplantation within 5 years, and the remaining being stable for long periods. (J Thorac Cardiovasc Surg 2020; ■:1-8)



Kaplan-Meier curve showing freedom from death or transplantation after developing PLE or PB.

CENTRAL MESSAGE

Prevalence of PLE and PB is 4.9% at 30 years and 7.4% at 35 years, with freedom from death or transplantation at 5, 10, and 15 years at 70% (95% Cl, 58-85), 65% (95% Cl, 51-83), and 43% (95% Cl, 26-73), respectively.

PERSPECTIVE

PLE and PB are typical complications of the Fontan circulation, and outcomes of these complications have changed in recent times. We investigate these complications in the ANZFR. We identified that these patients have improved survival compared with those previously reported, a notable change that will likely be of interest to the readers of the *Journal*.

See Commentary on page XXX.

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Congenital

| | Abbreviations and Acronyms ANZFR = Australia and New Zealand Fontan | | | | | |
|------|--|--|--|--|--|--|
| | Registry | | | | | |
| AV | = atrioventricular | | | | | |
| CI | = confidence interval | | | | | |
| HLHS | = hypoplastic left heart syndrome | | | | | |
| HR | = hazard ratio | | | | | |
| IQR | = interquartile range | | | | | |
| PB | = plastic bronchitis | | | | | |
| PLE | = protein losing enteropathy | | | | | |
| RV | = right ventricular | | | | | |
| | | | | | | |

Scanning this QR code will take you to the article title page to access supplementary information.

Protein losing enteropathy (PLE) and plastic bronchitis (PB) are among the more severe complications that can be encountered in patients with a Fontan circulation.¹ PLE and PB occur in 3% to 18% of patients at an average time of 2 to 4 years after the Fontan operation.^{2,3} They carry a high mortality rate, with a wide range of 5-year survivals from 46% in early studies to 88% in the current era.^{2,4,5}

The cause is uncertain; nevertheless, it is thought that an elevated systemic pressure and decreased systemic output result in inflammation and protein leakage at the gastrointestinal tract (PLE) or bronchi (PB). Current treatments are aimed locally at reducing protein loss (budesonide and heparin) or improving cardiac function by decreasing afterload (angiotensin-converting enzyme inhibitor/angiotensin receptor blocker and digoxin) and pulmonary vasodilation (sildenafil).^{6,7} It is still unclear whether in the present era these new adjunctive treatments have improved the expected survival.

We have identified in a retrospective study of the Australia and New Zealand Fontan Registry (ANZFR) that the occurrence of PLE and PB was one of the most important predictive factors of death and transplantation in the patients who survived with a Fontan circulation. We wanted to further define outcomes of PLE and PB in patients with a Fontan circulation. In particular, we aim to identify (1) risk factors for PLE/PB after Fontan; (2) risk factors for death or transplant after PLE/PB; and (3) potential treatment strategies to minimize the clinical consequences of patients with PLE/PB.

PATIENTS AND METHODS

All patients who underwent a Fontan procedure in Australia or New Zealand from 1975 to the present are recruited for surveillance as part of

the ANZFR. At present, 1561 patients who have survived to hospital discharge after Fontan completion are included. The initiation of this registry has been described⁸ and currently includes comprehensive information regarding peri-Fontan characteristics and surgical and long-term follow-up clinical data gathered via prospective and retrospective data collation. The Australian National Death Index is reviewed biannually, with all information fed back to the registry to ensure data regarding late deaths are complete. All deaths in New Zealand are automatically reported to the principal treating hospital, which are noted by site representatives for the registry. Approval for this study was obtained as part of ongoing ethical approval for the registry at the respective sites (HREC Ethics Number 36260).

Patients were included in the study if their referring clinicians diagnosed them with this complication. PLE diagnosis was made using an elevated α -1 antitrypsin clearance in a 24-hour stool collection or an elevated α -1 antitrypsin level in a single stool sample together with the presence of serum hypoalbuminemia and symptoms of edema without another identified cause, as defined by Rychik and colleagues' statement¹ from the American Heart Association. The diagnosis of PB was made by expectoration of casts, bronchoscopy, and histologic examination. Patients with PLE and PB were pooled for analysis of their outcomes and the prediction of their occurrence. We examined 2 end points: death and cardiac transplantation.

Statistical Analysis

Patient baseline characteristics were summarized using proportions for categoric variables and mean (\pm standard deviation) or median (interquartile range [IQR]) for continuous variables. Percentages were calculated using nonmissing data. The end points examined were the onset of PLE or PB post-Fontan (with death as a competing risk) and freedom from death or heart transplantation post-PLE or PB onset. End points not subjected to competing risks were examined using Kaplan-Meier analysis, and risk factors were examined as predictors using Cox regression analysis. End points subjected to competing risks were examined using cumulative incidence curves and risk factors were examined using cause-specific Cs regression. All factors with adequate evidence against the null hypothesis (P < .1) were included in a multivariable model that was then reduced using stepwise elimination to obtain a model with all factors having strong evidence against the null hypothesis (P < .05). The proportional hazards assumption was assessed on the basis of the method of Harrell-Lee and via diagnostic plots. Data analysis was performed using R version 3.6.1 (R Foundation for Statistical Computing, Vienna, Austria).

RESULTS

Risk Factors of Developing Protein Losing Enteropathy/Plastic Bronchitis After Fontan

From the registry of 1561 patients (Table 1), we identified a total of 55 patients with PLE or PB. Forty-eight had PLE only, 4 had PB only, and 3 had both. In these patients, the median age at Fontan was 5.7 years (IQR, 4.3-8.0 years), and the median time from Fontan operation to the onset of PLE was 5.0 years (IQR, 1.0-7.8 years) and PB was 1.7 years (IQR, 0.8-4.5 years). The most common encountered diagnoses were hypoplastic left heart syndrome (HLHS), double inlet left ventricle, and atrioventricular (AV) septal defect, which accounted for the underlying pathology in more than half of the patients with PLE or PB (Table 1).

Independent predictors for the risk of developing PLE or PB were right ventricular (RV) morphology with HLHS (hazard ratio [HR], 2.30; 95% CI, 1.12-4.74), older age at Fontan (HR, 1.13 for each 2-year increase in age; 95%

Sharma et al

| | | | No PB or PLE after Fontan | PB or PLE after Fontan | PLE after Fontan | PB after Fontan |
|---------------------------------------|--------------------------------|----------------------------|------------------------------|---------------------------|----------------------|--------------------|
| Variable | Level | n = 1561 (%) | N = 1506 | N = 55 | N = 51 | N = 7 |
| Gender | Female | 669 (42.9%) | 644 (42.8%) | 25 (45%) | 24 (47%) | 2 (29%) |
| | Male | 892 (57.1%) | 862 (57.2%) | 30 (55%) | 27 (53%) | 5 (71%) |
| Ventricle morphology | Left | 897 (58.2%) | 878 (59.0%) | 19 (35%) | 19 (38%) | 0 (0%) |
| | Right | 512 (33.2%) | 480 (32.3%) | 32 (59%) | 29 (58%) | 6 (86%) |
| | Biventricular Indeterminate | 94 (6.1%) | 91 (6.1%) | 3 (6%) | 2(4%) | 1 (14%) |
| | Unknown | 38 (2.5%) 20 (1.3%) | 38 (2.6%) 19 (1.2%) | 0 (0%) 1 (0.1%) | 0 (0%) 0 (0%) | 0 (0%) 0 (0%) |
| Primary diagnosis | Tricuspid atresia | 346 (22.3%) | 341 (22.8%) | 5 (9%) | 5 (10%) | 0 (0%) |
| Timary diagnosis | DILV | 261 (16.8%) | 252 (16.8%) | 9 (16%) | 4 (8%) | 0 (0%) |
| | DORV | 210 (13.5%) | 205 (13.7%) | 5 (9%) | 9 (18%) | 0 (0%) |
| | AV canal or AVSD | 121 (7.8%) | 114 (7.6%) | 7 (13%) | 6 (12%) | 1 (14%) |
| | Pulmonary atresia (with VSD) | 33 (2.1%) | 33 (2.2%) | 0 (0%) | 0 (0%) | 0 (0%) |
| | Pulmonary atresia (no VSD) | 127 (8.2%) | 124 (8.3%) | 3 (5%) | 3 (6%) | 0 (0%) |
| | HLHS | 201 (13.0%) | 186 (12.4%) | 15 (27%) | 13 (25%) 0 (0%) | 4 (57%) |
| | Ebstein's anomaly ccTGA | 14 (0.9%) 96 (6.2%) | 14 (0.9%) 92 (6.1%) | 0 (0%) 4 (7%) | 0 (0%) 4 (8%) | 0 (0%) 0 (0%) |
| | Other | 143 (9.2%) | 136 (9.1%) | 7 (13%) | 7 (14%) | 1 (14%) |
| | Unknown | 9 | 9 | 0 | 0 | 0 |
| Isomerism | Yes | 109 (9.0%) | 104 (7.0%) | 5 (9%) | 5 (10%) | 1 (14%) |
| Dextrocardia | Yes | 137 (8.8%) | 135 (9.2%) | 2 (4%) | 2 (4%) | 0 (0%) |
| No. of palliations | Mean (SD) | 2.0 (1.0) | 2.0 (1.0) | 2.1 (1.3) | 2.1 (1.3) | 1.9 (1.2) |
| Prior aortic-arch intervention | Yes | 80 (5.2%) | 76 (5.1%) | 4 (7%) | 3 (6%) | 1 (14%) |
| Prior PA banding | Yes | 367 (24.0%) | 353 (23.9%) | 14 (25%) | 13 (25%) | 2 (29%) |
| Prior staging BCPS | Yes | 1004 (64%) | 974 (66.0%) | 30 (55%) | 27 (53%) | 6 (86%) |
| Bilateral BCPS | Yes | 115 (8%) | 108 (7.3%) | 7 (13%) | 7 (14%) | 0 (0%) |
| Age at first BCPS | Median (IQR) | 0.7 (0.3-1.4) | 0.7 (0.3-1.4) | 0.6 (0.3-1.0) | 0.6 (0.3-0.9) | 0.3 (0.2-0.5) |
| PA reconstruction | Yes | 102 (6.5%) | 100 (6.6%) | 2 (4%) | 2 (4%) | 0 (0%) |
| Pre-Fontan PA pressure | Mean (SD) | 11.5 (3.5) | 11.5 (3.6) | 11.9 (2.4) | 11.9 (2.4) | 12.8 (1.3) |
| Preoperative elevated PAP | Yes | 107 (9.1%) | 105 (9.3%) | 2 (5%) | 2 (5%) | 0 (0%) |
| Aortic pulmonary/venous collaterals | Yes | 345 (29.5%) | 335 (29.7%) | 10 (24%) | 9 (24%) | 2 (40%) |
| Prior AV valve repair | Yes | 77 (5.0%) | 71 (4.8%) | 6 (11%) | 6 (12%) | 0 (0%) |
| Pre-Fontan arrhythmia | Yes | 22 (1.4%) | 20 (1.3%) | 2 (4%) | 2 (4%) | 0 (0%) |
| Pre-Fontan ventricular dysfunction | Yes | 36 (6.1%) | 32 (5.7%) | 4 (15%) | 3 (12%) | 1 (20%) |
| Pre-Fontan thromboembolism | Yes | 15 (1.0%) | 14 (0.9%) | 1 (2%) | 1 (2%) | 0 (0%) |
| Preoperative regurgitation | Yes | 116 (9.4%) | 111 (9.4%) | 5 (10%) | 5 (11%) | 0 (0%) |
| Pre-Fontan pacemaker | Yes | 6 (0.4%) | 4 (0.3%) | 2 (4%) | 2 (4%) | 0 (0%) |
| Age at Fontan (2-y increase) | Median (IQR) | 4.6 (3.6-6.1) | 4.6 (3.6-6.0) | 5.7 (4.3-8.0) | 5.6 (4.3-8.7) | 4.6 (4.3-5.9) |
| Fontan type | AP | 230 (14.7%) | 218 (14.5%) | 12 (22%) | 12 (24%) | 0 (0%) |
| | LT | 286 (18.3%) | 276 (18.3%) | 10 (18%) | 10 (20%) | 0 (0%) |
| | ECC | 1045 (66.9%) | 1012 (67.2%) | 33 (60%) | 29 (57%) | 7 (100%) |
| Year Fontan operation | 1975-1989 | 192 (12.3%) | 182 (12.1%) | 10 (18%) | 10 (20%) | 0 (0%) |
| | 1990-1999 | 359 (23.0%) | 348 (23.1%) | 11 (20%) | 10 (20%) | 1 (14%) |
| | 2000-2009 2010-2017 | 525 (33.6%) 485 (31.1%) | 505 (33.5%) 471 (31.3%) | 20 (36%) 14 (25%) | 20 (39%) 11 (22%) | 0 (0%) 6 (86%) |
| | 2010-2017 | -05 (51.170) | -/1 (31.370) | 1+(2J/0) | 11 (22 /0) | 0 (00 /0) |

TABLE 1. Baseline characteristics of the 1561 patients from the Fontan Registry

(Continued)

3

TABLE 1. Continued

| Variable | Level | n = 1561 (%) | No PB or PLE after Fontan N = 1506 | PB or PLE after Fontan N = 55 | PLE after Fontan N = 51 | PB after Fontan N = 7 |
|-------------------------------|-------|--------------|--|-------------------------------------|-------------------------------|-----------------------------|
| Concomitant procedures | Yes | 446 (28.6%) | 428 (28.4%) | 18 (33%) | 16 (31%) | 4 (57%) |
| Arch intervention | Yes | 80 (5.2%) | 76 (5.1%) | 4 (7%) | 3 (6%) | 1 (14%) |
| Fenestration | Yes | 573 (37.2%) | 546 (36.8%) | 27 (49%) | 25 (49%) | 4 (57%) |
| Concomitant PA reconstruction | Yes | 91 (5.8%) | 87 (5.8%) | 4 (7%) | 4 (8%) | 1 (14%) |
| Concomitant AV valve repair | Yes | 32 (2.0%) | 31 (2.1%) | 1 (2%) | 1 (2%) | 0 (0%) |
| Prolonged pleural effusions | Yes | 88 (5.6%) | 81 (5.4%) | 7 (13%) | 7 (14%) | 1 (14%) |

PB, Plastic bronchitis; *PLE*, protein losing enteropathy; *DILV*, double inlet left ventricle; *DORV*, double outlet left ventricle; *AV*, atrioventricular; *AVSD*, unbalanced atrioventricular septal defect; *VSD*, ventricular septal defect; *HLHS*, hypoplastic left heart syndrome; *ccTGA*, congenitally corrected transposition of great arteries; *SD*, standard deviation; *PA*, pulmonary artery; *BCPS*, bidirectional cavopulmonary shunt; *IQR*, interquartile range; *PAP*, pulmonary arterial pressure; *AP*, atriopulmonary; *LT*, lateral tunnel; *ECC*, extracardiac conduit.

CI, 1.03-1.22), and prolonged pleural effusions after Fontan (HR, 2.39; 95% CI, 1.07-5.31). Left ventricular morphology was protective (HR, 0.36; 95% CI, 0.18-0.70) (Table 2 and Table E1).

Clinical Course and Treatment Strategies

Patients were followed up over a median duration of 11.3 years (range, 6.0 days to 40.2 years). The majority of patients with PLE (n = 45) and PB (n = 5) presented symptomatically to the hospital at the time of their primary diagnosis, but thereafter management was largely on an outpatient basis. Readmissions were rare; among patients with PLE, 5 had a second readmission and 1 had 2 readmissions. These occurred at a median time of 371 days (IQR, 356-1057 days) from the previous episodes. In patients with PB, 1 had 1 recurrent episode (at 1095 days after the initial episode) and 1 had 2 recurrent episodes (at 659 and 1736 days after the initial episode). Outpatient medical treatments were budesonide (n = 14), sildenafil (n = 9), furosemide (n = 31), spironolactone (n = 22), angiotensin-converting enzyme inhibitor (n = 29), and antiarrhythmic agents (n = 14).

 TABLE 2. Multivariable model for the development of protein losing enteropathy and plastic bronchitis using hazard ratios

| Variable | HR (95% CI) P (Wald) |
|--|-----------------------|
| Right ventricle morphology and | 1.00 |
| non-HLHS (reference category) | |
| Right ventricle morphology | 2.30 (1.12-4.74) .024 |
| and HLHS | |
| Left ventricle morphology | 0.36 (0.18-0.70) .003 |
| Biventricular/indeterminate | 0.49 (0.14-1.70) .26 |
| Age at Fontan (per 2-y increase) | 1.13 (1.03-1.23) .007 |
| Pleural effusions (postoperative complication) | 2.43 (1.09-5.41) .03 |

HR, Hazard ratio; CI, confidence interval; HLHS, hypoplastic left heart syndrome.

Twenty-six surgical interventions were performed in 20 patients (Table 3): These were defined as Fontan revisions (n = 5; n = 3 deaths, n = 1 transplant), Fontan fenestrations (n = 11; n = 1 transplant, n = 2 deaths), Fontan conversions (n = 5; n = 1 transplant, n = 2 deaths), AV valve repairs (n = 3; no transplants, n = 2 deaths), and hepatic vein diversion (n = 2; no death or transplants). Patients with hepatic vein diversion (n = 2) remain free of death or transplantation at 5.67 years and 10.86 years of follow-up, respectively, both at New York Heart Association II without recurrent episodes requiring hospital admission.

Budesonide, an emerging treatment that has shown promise in select patients,¹ was used in 14 patients. These patients had also been treated with pre-PLE/PB fenestration closure (n = 3), pacemakers (n = 2), and AV valve repair (n = 1). Three of these 14 patients died, 1 had a heart transplantation, 1 had recurrent episode at 2933 days, and the remaining 9 remained free of recurrence, death, or transplantation after a median average of 11.2 years (range, 0.7-24.7 years).

 TABLE 3. Number of patients with surgical revision, transplantation, or death

| Operative intervention | All patients (N = 1561) | No PB/PLE (N = 1506) | $\begin{array}{l} \textbf{PB/PLE} \\ \textbf{(N = 55)} \end{array}$ |
|------------------------|-------------------------|-------------------------|---|
| Fontan revision | 19 | 14 | 5 |
| Fontan fenestration | 21 | 10 | 11 |
| Fontan conversion | 52 | 47 | 5 |
| AV valve repair | 15 | 12 | 3 |
| Hepatic vein diversion | 3 | 1 | 2 |
| Fontan takedown | 10 | 7 | 3 |
| Transplantation | 32 | 26 | 6 |
| Death | 117 | 102 | 15 |

Some patients underwent multiple operative interventions (eg, initial Fontan revision but subsequent transplantation). *PB*, Plastic bronchitis; *PLE*, protein losing enteropathy; *AV*, atrioventricular.

Risk Factors for Death or Transplant After Protein Losing Enteropathy/Plastic Bronchitis

There were 117 deaths in the Fontan population, and 13 deaths in the PLE/PB population. There were 4 Fontan takedowns and 6 transplants. The competing risks plot in Figure 1 shows the incidence of PB and PLE, death, and those alive and PB/PLE free. By univariable analyses, being male (HR, 2.58; 95% CI, 0.85-7.86) and older age at Fontan completion (HR, 1.22; 95% CI, 0.99-1.49 per 2-year increase) had a trend for poorer outcomes in predicting death or transplantation (P < .1); in multivariable analysis, age (HR, 1.23; 95% CI, 1.01-1.52) but not sex (HR, 2.79; 95% CI, 0.91-8.55) were predictive (Tables E2 and E3).

In the PLE/PB population, the freedom from death or transplantation at 5, 10, and 15 years was 70% (95% CI, 58-85), 65% (95% CI, 51-83), and 43% (95% CI, 26-73), respectively (Figures 2 and 3). When stratified by era (pre-2000 vs post-2000), there is no difference in freedom from death and heart transplantation in the contemporary era at up to 9 years after follow-up (log-rank *P* value = .2); however, there appears to be a difference in the early portion of the curve, which may demonstrate significance with longer follow-up (Figure 2).

DISCUSSION

PLE and PB are poor prognostic factors after the Fontan procedure⁴ and are one of the primary predictors of death and transplantation in our population from the ANZFR. There is a lack of data regarding the risk of their occurrence, predictors of adverse outcomes in affected patients, and optimal treatments. Best management practices are dictated by a series of case reports and isolated single-center studies. From the ANZFR, we report the prevalence of PLE/PB of 4.9% at 30 years and 7.4% at 35 years, with freedom from death or transplantation at 5, 10, and 15 years at 70% (95% CI, 58-85), 65% (95% CI, 51-83), and 43% (95% CI, 26-73), respectively (Figure 2).

We have previously reported outcomes after Fontan surgery in Australia and New Zealand that were superior to those previously published, a finding that we attribute to the fact that we started our experience late, at a time when contraindications for this surgery were already identified, and to a lower proportion of patients with HLHS (13.0% in overall population and 27.0% in the PLE/PB population).

Our incidence of PLE and PB is marginally lower than that of 8% at 15 years by Atz and colleagues⁹ and significantly less than that of 13.4% at 10 years reported by Feldt

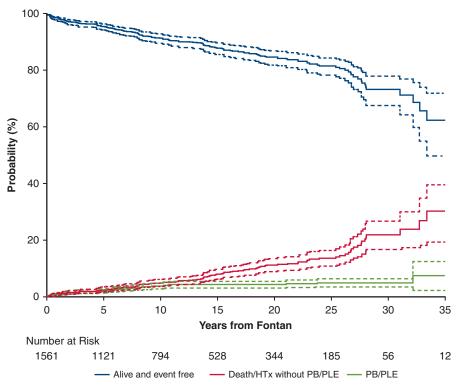


FIGURE 1. Competing risks plot shows probability of patients who received the Fontan remaining free of PLE and PB (*blue*). This is plotted against the probability of death before PLE or PB (*red*) and the probability of developing PLE or PB (*green*). The risk of developing PLE/PB increased up to 10 years from the Fontan, after which the risk plateaued. Conversely, there remains continuous risk of death from other causes. *HTx*, Heart transplantation; *PB*, plastic bronchitis; *PLE*, protein losing enteropathy.

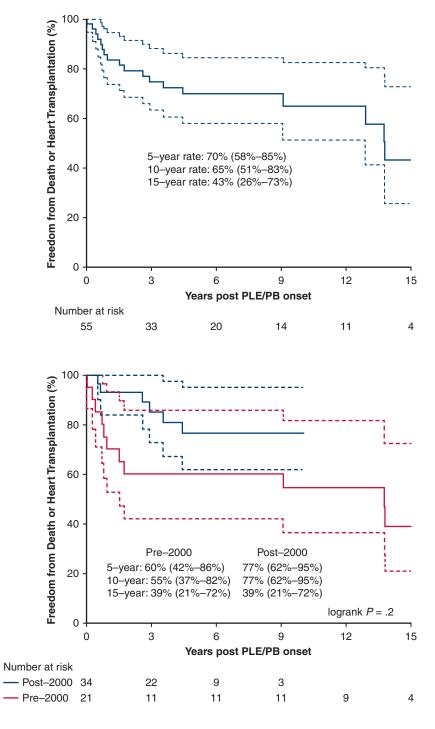


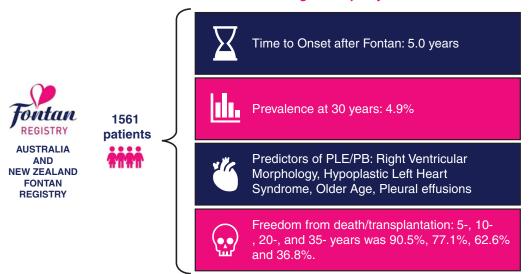
FIGURE 2. Kaplan–Meier examining freedom from (A) death or transplantation and (B) death or transplantation stratified by pre-2000 versus post-2000 (contemporary) group in the PLE/PB population. The freedom from death or transplantation at 5, 10, and 15 years was 70% (95% CI, 58-85), 65% (95% CI, 51-83), and 43% (95% CI, 26-73), respectively. When stratified by era (pre-2000 vs post-2000), there was no significant difference in freedom from death and heart transplantation in the contemporary era up to 9 years after follow-up. *PLE*, Protein losing enteropathy; *PB*, plastic bronchitis.

and colleagues.¹⁰ It is likely that the incidence of PLE and PB reflects the health of a given population of patients with a Fontan circulation. Upon onset, the condition may have a protracted subclinical course. The majority of patients had 1

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admission. Rehospitalization occurred in up to 10% within a median of 1.41 (IQR, 1.00-2.70) years after first diagnosis. The maximum number of hospital admissions for symptomatic flare-ups was 3.



Features of Protein Losing Enteropathy and Plastic Bronchitis

FIGURE 3. Our analysis of the ANZFR compromising of 1561 patients show the following features of PLE and PB: Average age of onset after the Fontan procedure is 5.0 years; the prevalence at 30 years among all patients who received the Fontan is 4.9%; the predictors of developing PLE or PB are RV morphology, HLHS, older age, and pleural effusions at the time of Fontan operation; and the freedom from death or transplantation among PLE/PB patients at 5, 10, and 15 years was 70% (95% CI, 58-85), 65% (95% CI, 51-83), and 43% (95% CI, 26-73), respectively. *PLE*, Protein losing enteropathy; *PB*, plastic bronchitis.

The risk factors for PLE/PB identified from multivariable regression were age at Fontan, RV morphology with HLHS, and pleural effusions. It was not surprising that risk factors for developing PLE or PB were RV with HLHS morphology, which are all likely associated with adverse outcomes. The association of prolonged postoperative pleural effusions with PLE and PB is consistent with etiological risk factors such as elevated venous pressure and decreased cardiac output. One could postulate that older age of Fontan may predispose to the development of systemic pulmonary collaterals, negatively affecting the Fontan circulation.

In the seminal article by Mertens and colleagues⁴ from 1998 collecting the outcomes of 114 patients with PLE, the 5-year survival was 59%. A subsequent study by John and colleagues⁵ in 2014 noted their 5-year survival at 88%. Compared with these studies, the ANZFR represents a larger cohort from a multicenter study, and although John and colleagues note an older mean age at PLE diagnosis $(18.9 \pm 11.0 \text{ years})$, their cohort had their initial Fontan operation performed at a later age (10.1 \pm 10.8 years). It seems that we may now be evolving to a stage where we have a better control of these complications. Only 30% of the patients died or required heart transplantation in the 5 years after the diagnosis, with the remaining patients remaining relatively stable for long periods. The current literature describes these complications as a chronic indolent disease leading to morbidity in terms of wound healing, coagulopathies, bone hypodensity, immunocompromise, and growth stagnation.

It remains unclear what are the best interventions to offer to these patients and difficult to decide at what stage to offer them. The mainstay of treatment remains decreasing fluid overload through aggressive diuresis as noted by Mertens and colleagues.⁴ Anecdotally, our results with budesonide (n = 14) have been favorable in protecting against death (n = 3/14) or transplant (n = 1/14). Transplant may offer a cure, but patients with this complication have been described to have a high early mortality after heart transplantation. Similar to the findings of Mertens and colleagues,⁴ we found surgical intervention to be fraught by high risk. There have been promising reported results by interventional procedures blocking the lymphatics originating from the liver or the connections with the vessels responsible for spillage in the airways.^{11,12} In expert hands, these are likely the most attractive interventions. In our series, patients who underwent hepatic vein diversion remained free of death or transplantation at 5 and 11 years of follow-up, respectively, both at New York Heart Association II without recurrent episodes requiring hospital admission. In patients who are fit for and managed to survive cardiac transplantation (n = 4), it proved to be the only cure for PLE or PB.

Study Limitations

Because this was a large retrospective study, with interventions dating back to 1977, there may be drastic differences in contemporary outcomes, but this is difficult to accurately identify because of a relatively large number of predictors compared with outcomes (n = 55). The 35-year

Congenital

follow-up across 2 countries and 11 centers makes some specific hemodynamic data difficult to collect consistently. PLE and PB remain rare conditions, with each clinician seeing a few patients, rendering difficulty in creating diagnosis and management protocols. A strict diagnostic criterion should be set in place, with imaging of the lymphatic system for each patient. These should then correlate to strict medical and surgical intervention protocols (which at present remain speculative) and investigated through further prospective studies.

CONCLUSIONS

PLE and PB remain severe complications of the Fontan circulation that seem to preferably affect patients with a dominant right single ventricle. There is no significant improvement in transplant-free survival in the current era, with one-quarter dying or requiring a heart transplantation within a few years and the remaining being relatively stable for long periods. Heart transplantation remains the ultimate treatment for those the most severely affected by these complications.

Conflict of Interest Statement

The authors reported no conflicts of interest.

The *Journal* policy requires editors and reviewers to disclose conflicts of interest and to decline handling or reviewing manuscripts for which they may have a conflict of interest. The editors and reviewers of this article have no conflicts of interest.

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Key Words: Fontan, protein-losing enteropathy, plastic bronchitis

Sharma et al

Congenital

TABLE E1. Univariable analysis of risk factors for the onset of protein losing enteropathy or plastic bronchitis

| Variable | Level | Ν | Events | HR (95% CI) | P (Wald) |
|--------------------------------------|--------------------------------------|--------------|----------|--------------------------------------|------------|
| Gender | Female | 669 | 25 | 1 | .9 |
| | Male | 892 | 30 | 0.98 (0.57-1.67) | |
| Ventricle morphology | Left-biventricular or intdeterminate | 1049 | 23 | 1 | <.001 |
| | Right | 512 | 32 | 3.58 (2.07-6.17) | |
| Primary diagnosis | AV canal or AVSD | 121 | 7 | 1 | .003 |
| | ccTGA | 96 | 4 | 0.67 (0.20-2.30) | |
| | Double inlet left ventricle | 261 | 9 | 0.51 (0.19-1.36) | |
| | Double outlet right ventricle | 210 | 5 | 0.36 (0.11-1.13) | |
| | Ebstein's anomaly | 14 | 0 | Not estimable | |
| | HLHS Other | 201 143 | 15 7 | 1.76 (0.71-4.33) 0.85 (0.30-2.42) | |
| | PA with IVS | 143 | 3 | 0.41 (0.11-1.58) | |
| | PA with VSD | 33 | 0 | Not estimable | |
| | Tricuspid atresia | 346 | 5 | 0.16 (0.05-0.56) | |
| HLHS (primary diagnosis) | No | 1351 | 40 | 1 | <.001 |
| (prinary diagnosis) | Yes | 201 | 15 | 3.89 (2.12-7.15) | 001 |
| HI HS (all patients) | No | 1343 | 39 | 1 | <.001 |
| HLHS (all patients) | NO Yes | 1343 209 | 39 16 | 1 4.14 (2.27-7.52) | <.001 |
| Paulan fama | AP | | | | (|
| Fontan type | | 230 1045 | 12 33 | 1 1.21 (0.58-2.52) | .6 |
| | ECC LT | 286 | 55 10 | 0.86 (0.36-2.08) | |
| | | | | | 1 |
| Year Fontan operation | 1975-1989 | 192 | 10 | 1 | .1 |
| | 1990-1999 | 359 | 11 20 | 0.80 (0.32-2.00) | |
| | 2000-2009 2010-2017 | 525 485 | 20 14 | 1.19 (0.51-2.77) 2.33 (0.90-6.02) | |
| NT | | | | | 04 |
| No. palliations | Per unit increase None, 1, or 2 | 1531 1134 | 55 36 | 1.28 (1.01-1.62) | .04 .04 |
| | 3-6 | 397 | 30 19 | 1.80 (1.03-3.16) | .04 |
| Pre-Fontan aortic arch repair | No | 1451 | 51 | 1 | .3 |
| rie-roman aorue aren repan | Yes | 80 | 4 | 1.77 (0.64-4.92) | .5 |
| Pre-Fontan PA banding | No | 1164 | 41 | 1 | .8 |
| re-rontan rA banding | Yes | 367 | 14 | 1.08 (0.59-1.99) | .0 |
| Prior staging BCPS | No | 527 | 25 | 1 | .8 |
| The saging Del 5 | Yes | 1004 | 30 | 0.94 (0.54-1.64) | .0 |
| Drior staging hildtoral DCDS | No | 1416 | 48 | 1 | .044 |
| Prior staging bilateral BCPS | Yes | 1410 | 48 7 | 2.28 (1.02-5.06) | .044 |
| A ap of first DCDS | | | | | 5 |
| Age at first BCPS | Per unit increase | 971 | 29 | 0.91 (0.69-1.21) | .5 |
| AV valve repair | No | 1454 | 49 | 1 | .008 |
| | Yes | 77 | 6 | 3.18 (1.36-7.47) | |
| PA reconstruction | No | 1459 | 53 | 1 | .4 |
| | Yes | 102 | 2 | 0.56 (0.14-2.30) | |
| Pre-Fontan pulmonary artery pressure | Per unit increase | 1172 | 44 | 1.02 (0.95-1.09) | .6 |
| Atriopulmonary collaterals | No | 825 | 31 | 1 | .9 |
| | Yes | 345 | 10 | 1.03 (0.50-2.12) | |
| Aortic arch intervention | No | 1451 | 51 | 1 | .3 |
| | Yes | 80 | 4 | 1.77 (0.64-4.92) | |
| Fenestration | No | 967 | 28 | 1 | .01 |
| | Yes | 573 | 27 | 2.04 (1.19-3.52) | |
| Age at Fontan | Per 2-y increase | 1561 | 55 | 1.10 (1.01-1.21) | .035 |
| | | | | | |
| Concomitant procedure | No | 1115 | 37 | 1 | .3 |

(Continued)

Congenital

TABLE E1. Continued

| Variable | Level | Ν | Events | HR (95% CI) | P (Wald) |
|------------------------------------|-------|------|--------|------------------|----------|
| Concomitant PA reconstruction | No | 1470 | 51 | 1 | .5 |
| | Yes | 91 | 4 | 1.38 (0.50-3.83) | |
| Concomitant AV valve repair | No | 1529 | 54 | 1 | .9 |
| | Yes | 32 | 1 | 0.91 (0.13-6.56) | |
| Isomerism | No | 1423 | 50 | 1 | .8 |
| | Yes | 109 | 5 | 1.36 (0.54-3.41) | |
| Dextrocardia | No | 1377 | 52 | 1 | .2 |
| | Yes | 137 | 2 | 0.39 (0.10-1.62) | |
| Common AV valve | No | 1424 | 47 | 1 | .09 |
| | Yes | 137 | 8 | 1.93 (0.91-4.09) | |
| Pre-Fontan elevated PAP (>15) | No | 1065 | 42 | 1 | .2 |
| | Yes | 107 | 2 | 0.39 (0.09-1.60) | |
| Pleural effusions | No | 1473 | 48 | 1 | .015 |
| | Yes | 88 | 7 | 2.68 (1.21-5.92) | |
| Pre-Fontan arrhythmias | No | 1539 | 53 | 1 | .2 |
| | Yes | 22 | 2 | 2.63 (0.64-10.8) | |
| Pre-Fontan ventricular dysfunction | No | 550 | 22 | 1 | .06 |
| | Yes | 36 | 4 | 2.83 (0.97-8.26) | |
| Pre-Fontan thromboembolic events | No | 1546 | 54 | 1 | .3 |
| | Yes | 15 | 1 | 2.58 (0.36-18.7) | |
| Pre-Fontan AV valve regurgitation | No | 1116 | 44 | 1 | .6 |
| | Yes | 116 | 5 | 1.27 (0.50-3.20) | |
| Pre-Fontan pacemaker | No | 1555 | 53 | 1 | .002 |
| | Yes | 6 | 2 | 9.69 (2.36-39.8) | |

HR, Hazard ratio; *CI*, confidence interval; *AV*, atrioventricular; *AVSD*, unbalanced atrioventricular septal defect; *ccTGA*, congenitally corrected transposition of great arteries; *HLHS*, hypoplastic left heart syndrome; *PA*, pulmonary artery; *IVS*, intact ventricular septam; *VSD*, ventricular septal defect; *AP*, atriopulmonary; *ECC*, extracardiac conduit; *LT*, lateral tunnel; *BCPS*, bidirectional cavopulmonary shunt; *PAP*, pulmonary arterial pressure.

Sharma et al

| Variable | Level | Ν | Events | HR (95% CI) | P (Wald) |
|--|--------------------------------------|----------|---------|------------------|----------|
| Gender | Female | 25 | 5 | 1 | .1 |
| | Male | 30 | 14 | 2.58 (0.85-7.86) | |
| Ventricle morphology | Left-biventricular or intdeterminate | 23 | 10 | 1 | .9 |
| 1 00 | Right | 32 | 9 | 0.95 (0.36-2.53) | |
| Primary diagnosis | Tricuspid atresia | 5 | 3 | 1 | .9 |
| | AV canal or AVSD | 7 | 0 | Not estimable | |
| | ccTGA | 4 | 1 | 0.56 (0.05-6.26) | |
| | Double inlet left ventricle | 9 | 6 | 1.68 (0.34-8.42) | |
| | Double outlet right ventricle | 5 | 2 | 1.29 (0.17-9.62) | |
| | HLHS | 15 | 5 | 1.44 (0.25-8.15) | |
| | Other | 7 | 1 | 0.39 (0.03-4.54) | |
| | PA with IVS | 3 | 1 | 0.75 (0.07-8.35) | |
| AV canal or AVSD | No | 47 | 19 | | |
| | Yes | 8 | 0 | Not estimable | |
| HLHS (all patients) | No | 39 | 14 | 1 | .4 |
| mento (un patients) | Yes | 16 | 5 | 1.65 (0.55-4.96) | |
| Ventriale membelser, version? | Left | 19 | 9 | 1 | .5 |
| Ventricle morphology version2 | | 19 16 | 5 | 1.46 (0.42-5.01) | .5 |
| | Right HLHS Right non-HLHS | 16 | 3 4 | 0.70 (0.20-2.43) | |
| - | | | | | |
| Fontan type | AP | 12 | 8 | 1 | .3 |
| | ECC | 33 | 7 | 0.44 (0.15-1.36) | |
| | LT | 10 | 4 | 0.50 (0.15-1.72) | |
| Year Fontan operation | 1975-1989 | 10 | 5 | 1 | .6 |
| | 1990-1999 | 11 | 7 | 0.85 (0.26-2.78) | |
| | 2000-2009 | 20 | 5 | 0.47 (0.13-1.69) | |
| | 2010-2017 | 14 | 2 | 0.46 (0.08-2.47) | |
| Year Fontan operation | Pre-2000 | 21 | 12 | 1 | .2 |
| | Post-2000 | 34 | 7 | 0.51 (0.19-1.40) | |
| No. palliations | Per unit increase | 55 | 19 | 0.90 (0.62-4.14) | .6 |
| 1 | None, 1, or 2 | 36 | 14 | 1 | .3 |
| | 3 to 6 | 19 | 5 | 0.60 (0.21-1.71) | |
| Pre-Fontan aortic arch repair | No | 51 | 18 | 1 | .9 |
| rie roman aone alen repan | Yes | 4 | 1 | 0.91 (0.12-6.92) | ., |
| Dra Fontan DA handing | | 41 | 12 | 1 | .3 |
| Pre-Fontan PA banding | No Yes | 41 14 | 12 7 | 1.60 (0.62-4.14) | .5 |
| | | | | × / | 10 |
| Prior staging BCPS | No | 25 | 13 | 1 | .13 |
| | Yes | 30 | 6 | 0.45 (0.16-1.25) | |
| Prior staging bilateral BCPS | No | 48 | 17 | 1 | .95 |
| | Yes | 7 | 2 | 1.05 (0.24-4.63) | |
| Age at first BCPS | Per unit increase | 29 | 6 | 0.86 (0.45-1.66) | .7 |
| AV valve repair | No | 49 | 18 | 1 | .7 |
| • | Yes | 6 | 1 | 0.63 (0.08-4.83) | |
| PA reconstruction | No | 53 | 19 | | |
| | Yes | 2 | 0 | Not estimable | |
| Pre-Fontan pulmonary arterial pressure | Per unit increase | 44 | 12 | 1.06 (0.82-1.37) | .6 |
| | | | | | |
| Atriopulmonary collaterals | No | 31 | 10 | 1 | .95 |
| | Yes | 10 | 2 | 1.05 (0.22-4.94) | |
| Aortic arch intervention | No | 51 | 18 | 1 | .9 |
| | Yes | 4 | 1 | 0.91 (0.12-6.92) | |

TABLE E2. Univariable analysis of factors predicting death or transplantation after protein losing enteropathy/plastic bronchitis onset

Congenital

TABLE E2. Continued

| Variable | Level | Ν | Events | HR (95% CI) | P (Wald) |
|------------------------------------|------------------|----|--------|------------------|----------|
| Fenestration | No | 28 | 11 | 1 | .9 |
| | Yes | 27 | 8 | 0.96 (0.37-2.46) | |
| Age Fontan | Per 2-y increase | 55 | 19 | 1.22 (0.99-1.49) | .06 |
| Concomitant procedure | No | 37 | 13 | 1 | .3 |
| | Yes | 18 | 6 | 0.56 (0.20-1.60) | |
| Concomitant PA reconstruction | No | 51 | 18 | 1 | .5 |
| | Yes | 4 | 1 | 0.53 (0.07-3.99) | |
| Concomitant AV valve repair | No | 54 | 19 | | |
| | Yes | 1 | 0 | Not estimable | |
| Isomerism | No | 50 | 18 | 1 | .6 |
| | Yes | 5 | 1 | 0.60 (0.08-4.60) | |
| Dextrocardia | No | 52 | 18 | | |
| | Yes | 2 | 0 | Not estimable | |
| Common AV valve | No | 47 | 19 | | |
| | Yes | 8 | 0 | Not estimable | |
| Pre-Fontan elevated PAP | No | 42 | 11 | 1 | .3 |
| | Yes | 2 | 1 | 2.94 (0.36-24.2) | |
| Pleural effusions | No | 48 | 16 | 1 | .2 |
| | Yes | 7 | 3 | 2.35 (0.65-8.50) | |
| Pre-Fontan arrhythmias | No | 53 | 18 | 1 | .5 |
| | Yes | 2 | 1 | 2.15 (0.28-16.5) | |
| Pre-Fontan ventricular dysfunction | No | 22 | 7 | 1 | .9 |
| | Yes | 4 | 2 | 1.12 (0.21-5.87) | |
| Pre-Fontan thromboembolic events | No | 54 | 18 | 1 | .3 |
| | Yes | 1 | 1 | 2.98 (0.39-22.8) | |
| Pre-Fontan AV valve regurgitation | No | 44 | 16 | 1 | .2 |
| | Yes | 5 | 3 | 2.46 (0.70-8.64) | |
| Pre-Fontan pacemaker | No | 53 | 18 | 1 | .8 |
| | Yes | 2 | 1 | 1.31 (0.17-9.99) | |

HR, Hazard ratio; *CI*, confidence interval; *AV*, atrioventricular; *AVSD*, unbalanced atrioventricular septal defect; *ccTGA*, congenitally corrected transposition of great arteries; *HLHS*, hypoplastic left heart syndrome; *PA*, pulmonary artery; *IVS*, intact ventricular septum; *AP*, atriopulmonary; *ECC*, extracardiac conduit; *LT*, lateral tunnel; *BCPS*, bidirectional cavopulmonary shunt; *PAP*, pulmonary arterial pressure.

TABLE E3. Multivariable analysis of factors predicting death or transplantation after protein losing enteropathy/plastic bronchitis onset

| Variable | HR (95% CI) | P (Wald) |
|--|------------------|----------|
| Age at Fontan (per 2-y increase) | 1.23 (1.01-1.52) | .04 |
| Pleural effusions (postoperative complication) | 2.79 (0.91-8.55) | .07 |

HR, Hazard ratio; CI, confidence interval.

8.e4 The Journal of Thoracic and Cardiovascular Surgery • 🔳 2020

Sharma et al

000 Protein-losing enteropathy and plastic bronchitis after the Fontan procedure Varun J. Sharma, MBBS/BMedSci, PGDipAnat, Ajay J. Iyengar, MBBS/BMedSc, PhD, FRACS, PhD, Diana Zannino, MSc(Res), Thomas Gentles, MBChB, FRACP, Robert Justo, MBBS, FRACP, David S. Celermajer, MBBS, PhD, DSc, FRACP, Andrew Bullock, MBBS, FRACP, David Winlaw, MBBS, MD, FRACS, Gavin Wheaton, MBBS, FRACP, Luke Burchill, MBBS, PhD, FRACP, Rachael Cordina, MBBS, PhD, FRACP, and Yves d'Udekem, MD, PhD, Melbourne, Brisbane, Sydney, and Adelaide, Australia; and Auckland, New Zealand

Prevalence of PLE and PB is 4.9% at 30 years and 7.4% at 35 years, with freedom from death or transplantation at 5, 10, and 15 years at 70% (95% confidence interval [CI], 58-85), 65% (95% CI, 51-83), and 43% (95% CI, 26-73), respectively.